STUDENT DISCIPLINARY POLICY AND PROCEDURE

**Special Ordinance under Statute D II 10: Student Discipline**

* + - 1. The General Board shall establish and keep under review:
1. rules of behaviour concerning the conduct of Registered Students and Formerly Registered Students;
2. a student disciplinary procedure for academic and non-academic misconduct relating to Registered Students and Formerly Registered Students;
3. the responsibilities of Student Discipline Officers, appointed in accordance with Section 2 below;
4. the student disciplinary panels for the regulation of student discipline, which shall comprise a Discipline Committee and an Appeal Committee, constituted in accordance with Sections 3 and 4 below;
5. the training to be undertaken by Student Discipline Officers and members of the Discipline Committee and Appeal Committee.
	* + 1. (a) The Student Discipline Officers shall be two members of the Regent House appointed by Grace on the nomination of the General Board with responsibility for commissioning an investigation into a potential breach of the Rules of Behaviour and determining whether Registered Student’s criminal convictions require the University to take further action, one of whom shall be designated the Student Discipline Officer and the other the Deputy Student Discipline Officer. The Deputy Student Discipline Officer shall be called upon to act in circumstances where the Student Discipline Officer is unavailable or has a conflict of interest.
6. The Student Discipline Officer shall reach one of the following decisions following investigation:
7. to impose a minor sanction where a breach of the Rules of Behaviour has occurred and where it is considered that a minor sanction is appropriate;
8. to refer the case to the Discipline Committee where it appears that a breach of the Rules of Behaviour may have taken place and a minor sanction may not be an appropriate sanction;
9. where neither (i) nor (ii) is appropriate:
	1. to take no further action;
	2. to refer the matter for decision under another University procedure.
		* 1. (a) The Discipline Committee shall determine whether the Rules of Behaviour have been breached or a Registered Student’s criminal conviction requires the University to take further action following referral of a case by the Student Discipline Officer and, where it determines that this has taken place, shall have the authority to impose sanctions.
10. The Discipline Committee shall comprise three persons as follows, assigned by lot to hear a case from among those available who have been appointed to a panel for that class by the General Board:
11. a Chair, who shall be experienced in decision-making relating to misconduct, either through legal training or in relation to student, staff, or professional procedures;
12. a member of the Regent House;
13. a Registered Student or a sabbatical officer of Cambridge University Students’ Union or the Graduate Union.
	* + 1. (a) The Appeal Committee shall determine whether an appeal against a decision of the Discipline Committee is upheld or dismissed.
14. The Appeal Committee shall comprise three persons as follows, assigned by lot to hear a case from among those available, in the case of classes (i) and (ii) from among those who have been appointed to a panel by the General Board:
15. a Chair, who shall be experienced in decision-making relating to misconduct, either through legal training or in relation to student, staff or professional procedures;
16. a member of the Regent House;
17. a Proctor, Deputy Proctor, or Pro-Proctor.
	* + 1. When making a decision to impose a sanction under the student disciplinary procedure, the Student Discipline Officer, the Discipline Committee and the Appeal Committee shall take into consideration any disciplinary action taken by a College to ensure that a Registered Student or Formerly Registered Student is not punished twice for the same misconduct.
			2. The University shall act reasonably in considering alleged breaches of the Rules of Behaviour under the student disciplinary procedure, having regard to the individual circumstances of the case. Every effort will be made to ensure that all parties are treated with fairness and dignity. None of those carrying out the procedure will have any previous knowledge of the case nor any material connection with any party to the alleged breach.
			3. The Council and the General Board shall receive a report annually on the number, type, and outcomes of cases and appeals considered under the student disciplinary procedure, together with any recommendations concerning the procedure.

**Ordinance: Rules of Behaviour for Registered Students and Formerly Registered Students**

All Registered Students and Formerly Registered Students are responsible for following the Rules of Behaviour. Not knowing or forgetting about the rules or their consequences is not a justification for not following them.

1. A Registered Student must not:

1. interfere or attempt to interfere in the activities of the University, a College, or a member of the Collegiate University Community in the pursuit of their studies or in the performance of their duties;
2. damage, misappropriate or occupy without appropriate permission any University or College property or premises, or any property or premises accessed as a result of a College or University activity;
3. interfere in the freedom of speech or lawful assembly of a member of the Collegiate University Community or visitor to the University;
4. engage or attempt to engage in physical misconduct, sexual misconduct or abusive behaviour: towards a member of the Collegiate University Community; or towards anyone within the Precincts of the University[[1]](#footnote-1) or during the course of a University or College activity;
5. damage or misappropriate property belonging to a member of the Collegiate University Community; or belonging to anyone within the Precincts of the University or during the course of a University or College activity;
6. endanger the health and safety of anyone within the Precincts of the University or in the course of a University or College activity;
7. engage in any form of academic misconduct;
8. forge, falsify or improperly use information to gain or attempt to gain an academic or personal advantage.

2. A Registered Student must:

1. comply with instructions issued by any person or body authorized to act on behalf of the University, in the proper discharge of their duties;
2. comply with all health and safety regulations and instructions issued by the University, a College or other associated institution;
3. inform the University of any relevant unspent criminal conviction;
4. comply with the terms of the code of practice issued under the provisions of Section 43 of the Education (No. 2) Act 1986 regarding meetings and public gatherings on University Premises;
5. comply with the Statutes and Ordinances and any rules and procedures established under the Statutes and Ordinances.

3. A Formerly Registered Student must not:

1. interfere or attempt to interfere in the activities of the University, a College, or a member of the Collegiate University Community in the pursuit of their studies or in the performance of their duties;
2. engage or attempt to engage in physical misconduct, sexual misconduct or abusive behaviour: towards a member of the Collegiate University Community; or towards anyone within the Precincts of the University or during the course of a University or College activity;
3. forge, falsify or improperly use examination results, academic achievements, data, documents, or awards from the University.

4. Further information regarding the Rules of Behaviour

4.1 ‘Physical misconduct’ is any unwanted and unreasonable contact. Physical misconduct includes pinching, punching, kicking, slapping, pulling hair, biting, pushing, shoving, using weapons and using items as weapons.

4.2 ‘Sexual misconduct’ is any unwanted and unpermitted sexual activity. Sexual activity includes sexual acts, kissing, sharing private sexual materials of another, touching through clothes, showing sexual organs and remarks of a sexual nature. Sexual misconduct can take place in physical or virtual environments.

4.3 ‘Abusive behaviour’ is any unwanted behaviour which is reasonably likely to cause harm; or have the effect of violating another’s dignity; or create an intimidating, hostile, degrading, humiliating or offensive environment for that other. It includes threats, abusive comments, the use of inappropriate or discriminatory language, the use of or supply of illicit substances, repeatedly contacting someone, and abuse that takes place within an intimate relationship. Abusive behaviour can take place in physical or virtual environments.

4.4 The word ‘unwanted’ means ‘unwelcome’ or ‘uninvited’. It is not necessary for a person to object to the behaviour for it to be unwanted.

4.5 ‘Academic misconduct’ is gaining or attempting to gain, or helping others to gain or attempt to gain, an unfair academic advantage in formal University assessment, or any activity likely to undermine the integrity essential to scholarship and research. It includes being in possession of unauthorised materials or electronic devices during an examination, including recording or communication devices or devices that can store data, even where Registered Students are unaware that such materials or devices are unauthorised, have no intention of using them, or are unaware that they have them in their possession. Academic misconduct also includes:

Plagiarism: using someone else’s ideas, words, data, or other material produced by them without acknowledgement;

Self-plagiarism: using the Registered Student’s own ideas, words, data or other material produced by them and submitted for formal assessment at this University or another institution, or for publication elsewhere, without acknowledgement, unless expressly permitted by the assessment;

Contract cheating: contracting a third party to provide work, which is then used or submitted as part of a formal assessment as though it is the Registered Student’s own work;

Collusion: working with others and using the ideas or words of this work without acknowledgment, as though it is the Registered Student’s own work;

Impersonating someone or being impersonated in an examination or arranging for someone to impersonate someone else by sitting their examination; or

Fabrication of data.

4.6 ‘Instructions issued by any person or body authorised to act on behalf of the University’ include requests to attend meetings, or to provide identification upon request.

4.7 A College or University activity is an academic, sporting, social or cultural activity either within the Precincts of the University or elsewhere in the context of a person’s membership of the University.

4.8 “Relevant unspent criminal conviction” includes a conviction for the following:

* Any kind of violence including (but not limited to) threatening behaviour, offences concerning the intention to harm or offences which resulted in at least actual bodily harm.
* Sexual offences, including those listed in the Sexual Offences Act 2003.
* The unlawful supply of controlled drugs or substances where the conviction concerns commercial drug dealing or trafficking (drug offences only involving possession are not relevant offences).
* Offences involving firearms.
* Offences involving arson.
* Offences involving terrorism.

If a student was convicted outside the United Kingdom for the type of offence listed above, this is also considered a relevant conviction.

For the purposes of this definition, out of court disposals are considered to be convictions. Penalty notices for disorder (PNDs), anti-social behaviour orders (ASBOs) or other orders are not considered to be convictions, unless contesting a PND or breaching the terms of an ASBO or other order has resulted in a criminal conviction. Whether or not an offence is considered ‘spent’ is defined by the Rehabilitation of Offenders Act 1974 and subsequent revisions to the Act.

4.9 ‘The code of practice issued under the provisions of Section 43 of the Education (No. 2) Act 1986’ relates to meetings and public gatherings on University premises. The Code of Practice is available at: <https://www.cambridgestudents.cam.ac.uk/new-students/rules-and-legal-compliance/freedom-speech>.

4.10 ‘Rules and procedures established under the Statutes and Ordinances’ includes: procedures that govern student conduct; regulations governing information services, motor vehicles, bicycles and boats; and the payment of fees and fines.

4.11 Any breach of the Rules of Behaviour may be considered more serious if:

1. it took place under the influence of alcohol or illicit substances;
2. it was motivated by the protected characteristics[[2]](#footnote-2) or perceived protected characteristics of another;
3. the Respondent has previously been found to have breached the same Rule of Behaviour;
4. the Respondent has breached precautionary action measures whilst this procedure has been ongoing;
5. the Respondent has not provided the University with reasonable information upon request so that it can assess the risk the Respondent may pose to the Collegiate University Community;
6. the Respondent has attempted to conceal or destroy evidence relating to the investigation;
7. the Respondent has abused a position of power or trust; or
8. there have been any previous breaches of the same rule within the Rules of Behaviour, or where a Respondent has not complied with a minor sanction or sanction under this procedure.

**General Board Regulations: Student Disciplinary Procedure**

**1. Glossary of key terms**

* 1. In this procedure, the following terms shall have the meanings set out below:

Appeal Committee A panel of three trained persons; two appointed by the General Board, and one Proctor, Deputy Proctor or Pro-Proctor, which determines whether an appeal against a decision of the Discipline Committee is upheld or dismissed, with the authority to amend, quash or impose sanctions

Collegiate University All Registered or Formerly Registered Students, other Community matriculated persons, all members of Regent House, and all

University and College employees, workers, staff or secondees, visiting scholars and visiting students

Completion of A letter that confirms the completion of the University’s internal

Procedures letter procedures, following which a student may be able to raise a complaint with the Office of the Independent Adjudicator

Concern The description of the behaviour causing concern, reported by the Reporting Person and submitted using a Concern Form

Discipline Committee A panel of three trained persons, appointed by the General Board, which determines whether the Rules of Behaviour have been breached or whether a Registered Student’s criminal conviction requires the University to take further action and, if so, has the authority to impose sanctions

Formerly Registered A person who has previously had the status of a Registered

Student Student

Impact Statement A written statement from a Reporting Person or Witness that describes the personal impact of an alleged breach of the Rules of Behaviour

Investigating Officer A person who meets the criteria outlined in Appendix B with responsibility for conducting an investigation into a potential breach of the Rules of Behaviour and presenting the investigation findings to a Discipline Committee

Investigation Report The report created by the Investigating Officer following an investigation

OSCCA The Office of Student Conduct, Complaints and Appeals

Registered Student Any person who has accepted an offer to study or is currently pursuing a course of study at the University; or a person who had such student status at the time of the circumstances about which the Concern is being raised. Visiting Students are covered by the terms and conditions of their contract

Reporting Person A person who is reporting a Concern about a Registered Student or Formerly Registered Student

Respondent A Registered or Formerly Registered Student whose conduct is the subject of a Concern

Rules of Behaviour The rules established by the General Board concerning the conduct of Registered Students and Formerly Registered Students

Student Discipline A trained member of Regent House who meets the criteria

Officer outlined in Appendix C, appointed by Grace, with responsibility for: commissioning an investigation into a potential breach of the Rules of Behaviour; determining whether a Registered Student’s criminal conviction requires the University to take further action; or deciding the University course of action following an investigation, with the authority to impose a minor sanction

Witness A person who has witnessed the Respondent’s behaviour or has witnessed a matter connected to the Respondent’s behaviour as alleged in the Concern. The Witness may be someone to whom the behaviour was directed, or a third party

**2. Scope and Principles**

2.1 This procedure enables the University to consider whether a Registered Student or Formerly Registered Student has breached the Rules of Behaviour and, if it is found that the
Rules have been breached, to impose proportionate sanctions. The procedure enables the University to respond appropriately to breaches of the Rules of Behaviour, to protect the University and the Collegiate University Community. It does not exist to resolve personal disputes.

2.2 The University can investigate and take action even where a Registered Student has since graduated or stopped pursuing a course of study. However, it will be necessary for the University to consider whether a matter can practicably be investigated and whether it is appropriate to do so, noting the limitations on the potential sanctions available.

2.3 This procedure is an internal process and does not have the same degree of formality as proceedings in a court of law. It is not normally necessary or appropriate for a Respondent or the University to be legally represented at any meetings that form part of the procedure save in exceptional circumstances. Where a Respondent considers this necessary, a request should be made to the Student Discipline Officer, setting out the reasons for such a request. The Student Discipline Officer’s decision in response to this request is final, subject to a review under the Procedure for the Review of Decisions of University Bodies.

2.4 The procedure itself can be stressful for those involved. The Investigating Officer and decision-makers will take into account the potential effects upon those engaging with the procedure and, where possible, minimise these effects. All Reporting Persons, Respondents and Witnesses will receive information about how to access support during this process. The appropriate support will depend on the circumstances of the case, but may be delivered by a College, the University, the Students’ Unions’ Advice Service or external support organisations.

2.5 Reasonable adjustments shall be made to the procedure to allow fair access for students with a disability. Respondents, Reporting Persons and Witnesses are requested to make any reasonable adjustments known to the Investigating Officer so that these can be put in place. The Investigating Officer may seek expert opinion regarding reasonable adjustments to ensure appropriate implementation. The Investigating Officer shall keep a record of any such adjustments.

2.6 In order to ensure that a Respondent’s views are accurately represented during the process it is preferable for the University to correspond directly with the Respondent. However, it is accepted that sometimes this will not be in the best interests of the Respondent, for example, where a Respondent is reluctant to make or receive decisions about a Concern without support, as a result of an underlying medical condition or disability. Where a Respondent would prefer correspondence to be directed through an authorized representative, permission needs to be provided by the Respondent in writing or via the Respondent’s University email account. Where this is appropriate, the Respondent will be given reasonable time to arrange this support.

2.7 It will not normally be possible for the Reporting Person to submit a Concern anonymously, except where there is a compelling case as decided by the Student Discipline Officer, supported by evidence, for the matter to be investigated. Where an anonymous Concern is accepted, the Concern will normally be accompanied by independent evidence enabling an investigation to take place without the involvement of the anonymous Reporting Person.

2.8 Concerns are ideally submitted in a timely manner, so that matters can be dealt with effectively. However, it is accepted that this is not always possible, for example where evidence of academic misconduct only becomes apparent after a significant period of time, or where a matter has significantly impacted an individual and the effects of this impact has led to a delay in reporting. There is no arbitrary deadline for the submission of a Concern, or a time limit based on whether someone who was a Registered Student at the time of the alleged breach of the rules remains a Registered Student when the Concern is submitted. The timeframe may however have an impact on the investigation, decisions and potential sanctions.

2.9 The University must investigate and consider Concerns in a timely manner, providing reasonable deadlines at each stage of the process for decision-makers to provide decisions and for Respondents, Reporting Persons and Witnesses to provide information. The University normally aims to complete the initial investigation and Discipline Committee decision within 60 days of informing the Respondent of the Concern. However, delays may occur where the case is complex, the Reporting Person, Respondent or Witnesses are not available to attend meetings, or where the procedure has been suspended for good reason. Respondents and Reporting Persons will be provided with updates where there is a delay.

2.10 Witnesses are expected to engage fully with the procedure, as far as is reasonable in the circumstances.

2.11 Where reasonable, physical meetings can be replaced by virtual meetings, where a Respondent, Reporting Person or Witness may attend a meeting by video or telephone call at the discretion of the Investigating Officer or Chair of the Committee.

2.12 All decision-makers and investigators will receive appropriate training to undertake their role and be appropriately resourced and supported. No decision-maker or investigator will have any previous involvement with the matter that they are considering, or personal knowledge of the people involved. To avoid the reasonable perception of bias, decision-makers and investigators will not be a member of the same College or Department as the Respondent or the Reporting Person.

2.13 Any reference in this procedure to a University officer or other named role includes a deputy appointed by that officer or role-holder to exercise the functions assigned to that officer under this procedure.

2.14 Some breaches of the Rules of Behaviour could also constitute criminal convictions. The University will not normally investigate a matter where criminal proceedings are ongoing (including criminal investigations and appeal processes), pausing any action under this procedure until criminal proceedings are complete. Where it is in the University’s interest to do so, either following an investigation undertaken by the police and any subsequent criminal proceedings, or where it appears unlikely that criminal proceedings will take place, the University may take its own action under this or another procedure.

2.15 The University will treat relevant police fines, cautions, final warnings, reprimands or criminal convictions received by the Respondent as conclusive evidence that the behaviour, on which the offence was based, took place. A ‘not guilty’ or ‘no further action’ outcome from the police or criminal proceedings will not prevent the University from undertaking its own investigation as to whether a breach of the Rules of Behaviour has occurred.

2.16 Sometimes a Concern will be more appropriately investigated under another procedure, for example, the Procedure to Determine Fitness to Study, if the behaviour has been wholly caused by an underlying medical condition, or the Procedures to Determine Fitness to Practise for students undertaking professional courses, where the requirements for student behaviour are stricter. It will be at the discretion of the Student Discipline Officer, in consultation with relevant University Officers to decide which procedure is most appropriate to investigate student behaviour. The University reserves the right to refer a matter to another procedure at any time during this procedure. Sometimes a Concern will be appropriately investigated under this procedure but nonetheless, following the outcome, it will be necessary to refer the matter to another procedure, for example to refer a matter to the Procedures to Determine Fitness to Practise, to consider the Respondent’s ability to continue on their professional course of study where a breach of the Rules of Behaviour has been found. If a breach of the Rules of Behaviour has been found, this will be treated as conclusive evidence that the breach of the Rules of Behaviour has occurred but there may be other elements of a Respondent’s ability to study that cannot be considered under this procedure.

2.17 Some breaches of the Rules of Behaviour will also be in breach of a College’s Statutes and Ordinances and may result in the Respondent’s College taking disciplinary action. The University shall take into consideration any action taken by the College to ensure that the Respondent is not punished twice for the same breach. However, even where the College chooses to take no action, it may still be appropriate for the University to take its own action, following consultation with the College.

2.18 Where a Concern involves more than one Respondent, it will be at the discretion of the Investigating Officer to decide whether the Concern should be separated into separate investigations for some or all of the Respondents. Where an investigation includes more than one Respondent and the Student Discipline Officer has chosen to refer the matter to the Discipline Committee, the Chair of the Discipline Committee shall have the discretion to decide whether there shall be a single hearing for all of the Respondents, or a separate hearing for each Respondent. Where a hearing involving multiple respondents takes place, the personal mitigation of each Respondent, unless it refers to the other Respondent(s), shall not be shared with the other Respondent(s). Where multiple Respondents appeal the decision of the Discipline Committee decision appeals shall usually be considered separately but by the same Appeal Committee.

2.19 Special Ordinance D (v) concerning Precautionary Action permits the Academic Secretary to put precautionary measures in place where an investigation is ongoing and when it is necessary to do so in the circumstances. It is the responsibility of OSCCA, where appropriate, to ensure updates relating to this procedure are provided to the Academic Secretary and College to ensure that the ongoing risk can be monitored.

2.20 While the procedure is ongoing, a Respondent must not contact or attempt to contact the Reporting Person either directly or via another person. Except where precautionary action precludes it, the Respondent may continue to pursue and complete their studies, including graduating, unless informed otherwise by the Student Discipline Officer.

2.21 The University owes a duty of care to all members of the Collegiate University Community, including Reporting Persons, Witnesses and Respondents, to safeguard the interests and safety of the Collegiate University Community.

2.22 Respondents, Witnesses, Reporting Persons and their supporters and representatives, as well as decision-makers and investigators, are required to communicate and act respectfully and reasonably at all times whilst using the procedure and to treat the processes with respect. Abusive behaviour will not be tolerated. If, following a warning, someone continues to behave in an unacceptable manner, that person may be subject to separate disciplinary action. The person may be required by a decision-maker to stop engaging with this procedure or engage in a limited manner, even if this impacts upon the consideration of the Concern or a subsequent appeal.

2.23 The standard of proof used when making determinations under this procedure is on the balance of probabilities. The burden of proof rests with the University; it is for the Investigating Officer to prove that a breach of the Rules of Behaviour has occurred. This means that the Investigating Officer will have to prove that it is more likely than not that a breach of the Rules of Behaviour occurred before the decision-maker can impose any sanctions on the Respondent. Decisions must be supported by evidence; it is not enough to simply believe that something is likely to have happened.

*Information sharing*

2.24 OSCCA shall share the information and evidence related to an investigation and outcome with members of staff, the Respondent, the Reporting Person and Witnesses where it is strictly necessary to do so in order to process, investigate, and/or determine the outcome of an alleged breach of the Rules of Behaviour, or to implement any sanctions following a finding. All information received from a Reporting Person, Respondent, Witness or staff member will be handled sensitively and in accordance with the University’s Data Protection Policy.

2.25 The University shall share all evidence considered in reaching a decision (except where the decision-maker determines that there is a compelling reason not to do so), the decision itself, the reasons for the decision and any sanctions, with the Respondent. The evidence considered in reaching a decision may not be shared with the Respondent where the identity of the Reporting Person, or the identity of a Witness, or personal data or special category data belonging to another has been provided, where there is a compelling case for the matter to be investigated without revealing this information to the Respondent. Any student affected by such a decision can request a review using the Procedure for the Review of Decisions of University Bodies. Where information is unable to be shared with the Respondent, this may affect the decision-maker’s ability to rely on this evidence in reaching a decision. Where evidence is not relied upon by the decision-maker, this will be specified in the reasons for the decision.

2.26 The University shall share the initiation of an investigation, the investigation findings and the reasoned determination of the Student Discipline Officer or Discipline Committee, including any sanctions, with the Respondent’s College Senior Tutor (if the Respondent is a member of a College) and the Respondent’s Head of Department.

2.27 The University shall share relevant investigation findings, the reasoned determination of the Student Discipline Officer or Discipline Committee and relevant sanctions with the Reporting Person and Witnesses. ‘Relevant’ investigation findings and sanctions are those which have a direct impact on the Reporting Person or Witness. The Reporting Person or Witness cannot appeal the outcome of the Student Discipline Officer or Discipline Committee. However, if there are concerns about how the matter was handled or the process used in reaching an outcome then the Reporting Person or Witness may be able to make a complaint under the Student Complaint Procedure.

**3. Submitting a Concern**

3.1 The University will normally be informed of a potential breach of the Rules of Behaviour by a Reporting Person. The Reporting Person may be a person who has been impacted by the reported behaviour, witnessed the reported behaviour or became aware of the reported behaviour through other means.

3.2 For Concerns relating to academic misconduct in examinations, the Reporting Person may be a supervisor, invigilator or other person officially engaged in the examination process, who shall have confiscated any unauthorised material or device before contacting the Investigating Officer without delay. For Concerns relating to other types of academic misconduct, the Reporting Person may be a person engaged in the academic assessment process who has met with the Respondent to ascertain whether there is evidence of poor scholarship to be handled by the Department, or whether there is an allegation of academic misconduct which requires a Concern to be raised. The Reporting Person may also be any person who becomes aware that academic misconduct may have occurred.

3.3 A prospective Reporting Person can contact OSCCA to request a preliminary meeting with the Investigating Officer to understand the procedure.

3.4 To submit a Concern, the Reporting Person must complete and submit the Concern Form, together with any evidence the Reporting Person wishes to provide, to the Investigating Officer.

3.5 The Investigating Officer shall communicate with the Reporting Person to acknowledge the Concern that has been received, to request further information where the Concern Form is incomplete, and to offer the Reporting Person a preliminary meeting if one has not already taken place.

3.6 The Concern Form will be presented by the Investigating Officer to the Student Discipline Officer who will consider whether the following criteria are met:

1. there is an allegation that, on the face of it, would appear to breach the Rules of Behaviour;
2. it is appropriate to investigate the matter;
3. this procedure is the most appropriate procedure to use to investigate the matter; and
4. the Concern has not already been investigated using this procedure.

3.7 Where all the criteria have been met, the Student Discipline Officer shall commission an investigation into the Concern. Where at least one of the criteria has not been met, the Student Discipline Officer shall not commission an investigation.

3.8 Where part of the Concern has previously been investigated, it is at the discretion of the Student Discipline Officer whether it is in the University’s interest to investigate the aspect that has not yet been investigated, taking into account why the matter was not previously fully investigated, the length of time that has elapsed since the investigation, the severity of the misconduct, the impact on the Respondent of undergoing a second discipline investigation, and whether there would be repercussions for the Respondent’s fitness to practise were the decision taken not to investigate the matter.

3.9 The Student Discipline Officer shall give written reasons for the decision about whether to commission an investigation and the Investigating Officer shall communicate the decision to the Reporting Person in writing, within 7 days of receiving the Student Discipline Officer’s decision. Reporting Persons who are students may be able to make a complaint under the Student Complaints Procedure within 28 days of being notified of the decision.

3.10 Where an investigation is not commissioned and any complaint relating to this decision has been completed, the Respondent shall be notified in writing of the Concern, the decision of the Student Discipline Officer, the reasons for the decision, and confirmation that no further action will be taken under this procedure. This notification shall normally be provided to the Respondent within 7 days of the Student Discipline Officer’s decision, or where the Reporting Person is a student, within 7 days of the deadline for raising a complaint or, if a complaint is submitted, following the completion of the complaint. However, where sharing this information with the Respondent may impact upon an investigation being undertaken under a different procedure or by an external body, a delay to informing the Respondent may be necessary.

**4. Investigating a Concern**

4.1 Where an investigation is commissioned, the Investigating Officer shall write to the Respondent to confirm that a Concern naming the Respondent has been received, the nature of the alleged behaviour, the relevant Rules of Behaviour that have allegedly been breached and the decision of the Student Discipline Officer to proceed with an investigation. The Investigating Officer will provide a brief summary of the Concern, name the Reporting Person (unless, exceptionally, an anonymous Concern has been accepted), and describe the investigation process and possible outcomes. The Investigating Officer will inform the Respondent of the avenues of support available to them and the potential consequences if the Concern relates to alleged misconduct that may constitute a criminal offence.

4.2 The Investigating Officer shall conduct an investigation which may require written statements, meetings and evidence from any member of the Collegiate University Community relevant to the investigation. In addition, the Investigating Officer may request written statements, meetings and evidence from anyone outside of the Collegiate University Community. Written notes shall be taken of all investigative meetings. Any person required to attend an investigative meeting will be able to bring a supporter of their choosing to the meeting and will be directed to appropriate sources of support. At the meeting they can present written information, evidence and the names of any Witnesses and shall have the opportunity to comment on the Investigating Officer’s written notes of any meeting that they attended.

4.3 The Investigating Officer shall normally meet with the Reporting Person and with the Respondent to receive an oral account. The Investigating Officer may meet with any Witnesses or instead collect information through written statements. The Investigating Officer shall give anyone impacted by the alleged behaviour being investigated the opportunity to provide an Impact Statement.

4.4 In addition to investigating the Concern itself, the investigation shall include gathering information and investigating the seriousness of the Concern, any mitigation provided by the Respondent and any relevant previous breaches of the Rules of Behaviour by the Respondent. During the investigation, it may be necessary to request further information and responses from those who have already provided oral or written accounts.

4.5 The Investigating Officer may also require the Respondent to engage in expert assessment(s) to assess the level of risk the Respondent presents to themselves and members of the Collegiate University Community. Where a Respondent does not engage with the process this may make any breach of the Rules of Behaviour more serious, as outlined in Regulation 4.11 of the Rules of Behaviour. In addition, not engaging with the process is likely to separately breach Regulation 2(a) of the Rules of Behaviour and further disciplinary action may be taken. Where a Respondent does not engage with an expert assessment, commissioned to assess the level of risk associated with the Respondent, the Investigating Officer may assume that there is a high level of risk associated with the Respondent. Any action taken must be proportionate to the circumstances; however, the level of risk may be relevant to any precautionary action taken, or to any sanction imposed by the Student Discipline Officer, Discipline Committee or Appeal Committee.

4.6 The Investigating Officer shall produce an Investigation Report, outlining the findings of the investigation. The Investigating Officer will share a copy of the Investigation Report and all evidence with the Student Discipline Officer.

**5. Student Discipline Officer Decision**

5.1 The Student Discipline Officer shall consider the Investigation Report and evidence from the investigation. At the Student Discipline Officer’s discretion, further evidence or a meeting with the Respondent may be requested.

5.2 The Student Discipline Officer shall reach one of the following decisions:

1. To impose a minor sanction where a breach of the Rules of Behaviour has occurred and where it is considered that a minor sanction is appropriate;
2. To refer the case to the Discipline Committee where it appears that a breach of the Rules of Behaviour may have taken place and a minor sanction may not be an appropriate action;
3. Where neither a) nor b) is appropriate: (i) to take no further action; (ii) to refer the matter for decision under another University procedure.

5.3 In considering whether to impose a minor sanction, or refer the case to the Discipline Committee, the Student Discipline Officer shall give consideration to Regulation 4.11 of the Rules of Behaviour and any guidance published by the General Board in relation to sanctions, as well as the following factors:

1. The seriousness of the breach;
2. The harm or damage caused;
3. The advantage gained or the advantage that could have been gained by the Respondent as a result of the breach;
4. The intent and planning involved in the breach;
5. The impact on the Collegiate University Community, including the content of any Impact Statement;
6. Whether the Respondent has admitted to the breach and when such an admission took place;
7. Whether the Respondent has expressed remorse and/or shown insight into the impact of the breach;
8. The evidenced personal circumstances of the Respondent.

5.4 Where the Student Discipline Officer decides to impose a minor sanction, one or more of the following sanctions are available:

1. A written warning, which will be placed on the Respondent’s record and will make any subsequent breaches of the Rule of Behaviour more serious;
2. To require the Respondent to pay the cost of material damages up to the amount of £250;
3. To require the Respondent to provide a written apology;
4. To require the Respondent to engage with an educative or reflective session;
5. To require the Respondent to complete a written reflection.

5.5 The Student Discipline Officer shall provide the decision and the reasons for the decision in writing. Within 7 days of receiving the Student Discipline Officer’s decision and reasons, the Investigating Officer shall communicate this in writing alongside a copy of the Investigation Report and evidence to the Respondent. Where a minor sanction is imposed, the Respondent will have 7 days from receiving the decision to confirm whether to accept this outcome or have the case referred to the Discipline Committee.

5.6 The Student Discipline Officer shall refer the case to the Discipline Committee for consideration where the Respondent does not agree with the decision to impose a minor sanction; or where the Respondent does not comply with the minor sanction; or where the Student Discipline Officer considers that a minor sanction is not appropriate.

**6. Registered Students who receive a relevant criminal conviction**

6.1 It is a Registered Student’s responsibility to inform the University about any relevant criminal conviction received whilst a Registered Student. If a relevant criminal conviction is not reported then the Registered Student will be in breach of Regulation 2(c) of the Rules of Behaviour.

6.2 The purpose of a student reporting a criminal conviction is so that the University can assess whether the Registered Student is able to continue their studies at the University and whether there are any further actions that need to be taken. The behaviour resulting in the criminal conviction may not necessarily be in breach of any of the Rules of Behaviour.

6.3 Where a Respondent has already received a criminal conviction as a result of behaviour that is raised within a Concern, an investigation shall be conducted in accordance with paragraph 4 of the procedure. The conviction will be used as conclusive evidence that the behaviour on which the conviction was based, has taken place.

6.4 Any criminal sentence given to the Respondent will be taken into account by decision-makers when considering whether to apply any sanctions under this procedure. There is a need for all action taken and sanctions imposed by the University to be proportionate.

6.5 Where a Registered Student reports a criminal conviction to OSCCA, the Investigating Officer shall require the Registered Student to provide relevant court documentation, including a copy of the sentence, any judgement and any pre-sentence report. The Registered Student shall also have the opportunity to provide a written statement.

6.6 The Investigating Officer shall present the information to the Student Discipline Officer, and the Student Discipline Officer shall decide either that:

1. the criminal conviction does not require the University to take any further action; or
2. the criminal conviction requires the University to take further action.

6.7 Where paragraph 6.6(a) is the decision then the Investigating Officer shall write to the Registered Student and confirm that no further action will be taken. A record of this decision shall be retained by OSCCA.

6.8 Where paragraph 6.6(b) is the decision, the Student Discipline Officer shall refer the matter to the Discipline Committee for further consideration. The Discipline Committee shall consider whether to impose any sanction outlined in paragraphs 5.4 or 7.11 of this procedure for the protection of the interests of the University, in accordance with the process outlined in paragraph 7.

**7. Discipline Committee consideration**

7.1 Where the Student Discipline Officer refers any case to the Discipline Committee, a member of OSCCA shall act as Secretary to the Discipline Committee and shall assign by lot a Chair and two members to the Discipline Committee from among the members of the Panel appointed by General Board who are available and have not had prior involvement in the case.

7.2 The Discipline Committee shall consist of:

a) A Chair, who shall be experienced in decision-making relating to misconduct, either through legal training or in relation to student, staff or professional procedures;

b) A member of Regent House;

c) A Registered Student or a sabbatical officer of Cambridge University Students’ Union or the Graduate Union.

7.3 The Secretary of the Discipline Committee shall organise a meeting of the Discipline Committee and will communicate the membership of the Committee, date, time and location of the meeting to the Discipline Committee members, the Investigating Officer, the Respondent and the Respondent’s Senior Tutor.

7.4 The Respondent shall have an opportunity to provide a written response to the Investigation Report and evidence, and to attend the Discipline Committee meeting with a supporter and/or representative of their choice. Where a legal representative is requested by the Respondent, the process outlined in paragraph 2.3 of this procedure applies. Any response or request to call witnesses from the Respondent must be provided at least 10 days before the date of the Discipline Committee meeting. A Respondent who wishes to admit the alleged misconduct in advance of the Discipline Committee meeting may do so as part of the written response, in which case the meeting may focus only on what, if any, sanction to apply.

7.5 If the Respondent is unable to attend the Discipline Committee meeting and wishes to do so, it shall be at the Chair’s discretion as to whether the Respondent has provided a sufficient reason for absence, in which case the meeting date should be re-arranged. The Discipline Committee meeting may proceed in the Respondent’s absence.

7.6 The Discipline Committee shall receive the Investigation Report and evidence, and any response provided by the Respondent at least 7 days before the Discipline Committee hearing.

7.7 During the Discipline Committee meeting, there shall be the opportunity for the Committee members to ask questions of the Investigating Officer and, if in attendance, the Respondent.  The Respondent (or the Respondent’s representative) and the Investigating Officer will also have the opportunity to ask questions. Evidence from the Reporting Person(s) and/or any Witness(es) will be included in full within the Investigation Report, and therefore it will not usually be necessary for them to attend the meeting.  Where the Respondent wishes to challenge the evidence of a Reporting Person or a Witness, the Chair of the Discipline Committee will determine the most appropriate format for this.  Appropriate formats may include the Reporting Person or Witness attending the Discipline Committee meeting in person or by video link, or questions being directed through the Chair.  Alternatively, the Respondent may be asked to explain the precise challenges which they wish to make to the evidence and, where the Chair of the Discipline Committee considers those challenges material to the Discipline Committee’s decision, a further written response will be sought from the Reporting Person or Witness.  In exceptional circumstances, the Discipline Committee may pause the meeting to request further information.  The Respondent shall have the opportunity to make a final statement.

7.8 Once the Discipline Committee is satisfied that it has received all of the information, all persons except for the members, Secretary and note taker of the Discipline Committee shall withdraw.

7.9 The Discipline Committee shall consider all the information that has been provided and reach one of the following decisions:

1. To dismiss the case;
2. To find that there has been a breach of the Rules of Behaviour.

7.10 Where the Discipline Committee has found that the Rules of Behaviour have been breached, the Secretary shall inform the Discipline Committee of any previous breaches of the same Rule. The Respondent (and any supporter or representative) and the Investigating Officer will be invited back into the meeting and the Respondent (or the Respondent’s representative) will have an opportunity to make a further statement in relation to mitigation regarding the breach of the Rules of Behaviour and any previous breaches of Rules of Behaviour. The Investigating Officer may provide further information including in relation to any Impact Statement and the Committee may ask questions. The Respondent (or the Respondent’s representative) shall have the opportunity to make a final statement.

7.11 The Discipline Committee will then consider whether a sanction should be imposed. Consideration shall be given to the factors outlined in Regulation 4.11 of the Rules of Behaviour and paragraph 5.3 of this procedure. The Discipline Committee can consider whether a minor sanction, as outlined in paragraph 5.4, should be imposed. Where a minor sanction is not considered to be appropriate, the Discipline Committee can choose from the following further sanctions:

a) Restrictions or conditions on the right to use University or, with the permission of the relevant College, College premises, facilities or services;

b) The amendment of academic results or the temporary or permanent removal of academic awards;

c) Temporary or permanent exclusion from membership of the University with accompanying temporary or permanent removal of academic awards;

d) Any penalty considered by the Discipline Committee to be lighter.

7.12 In deciding upon the appropriate sanction(s), the Discipline Committee shall consider each sanction in turn and record the reasons for the sanctions imposed. Sanctions affecting the academic results or academic awards of a Respondent shall only be imposed where it is proportionate to do so.

7.13 The Secretary of the Discipline Committee, within 7 days of the Discipline Committee reaching a decision, shall provide to the Respondent a written document explaining the Discipline Committee’s decision, reasons for the decision, any sanction applied and the right of appeal. The notes of the Discipline Committee meeting will be shared with the Respondent within 14 days of the Discipline Committee meeting.

7.14 Where a Respondent subsequently fails to comply with any sanctions imposed by the Discipline Committee, the Respondent will be subject to any action specified by the Discipline Committee to be imposed in this circumstance; or, where other action has not been specified, further disciplinary action may be taken under Regulation 2(a) of the Rules of Behaviour.

**8. The Appeal Committee**

8.1 The Respondent shall have the right to appeal the decision of the Discipline Committee within 14 days of receiving the written decision. A member of OSCCA who has had no previous involvement in the case shall act as Secretary to the Appeal Committee. The Secretary to the Appeal Committee has the authority to extend the appeal deadline, where there is a compelling reason to do so.

8.2 An appeal can be submitted on the following grounds, that:

1. The procedures were not followed properly;
2. The Discipline Committee reached an unreasonable decision;
3. The Respondent has new material evidence that the Respondent was unable, for valid reasons, to provide earlier in the process;
4. There is bias or reasonable perception of bias during the procedure;
5. The penalty imposed was disproportionate, or not permitted under the procedures.

8.3 In order to appeal the Discipline Committee’s decision, the Respondent will need to complete and submit an Appeal Form to OSCCA, which includes all evidence the Respondent wishes to be considered as part of the appeal. The University will normally aim to make a decision regarding an appeal within 30 days of the Respondent making the appeal.

8.4 If the appeal has been made on the specified grounds and within the timeframe, as determined by the Secretary of the Appeal Committee, the Secretary shall assign by lot a Chair and a member of Regent House from among the members of the Panels appointed by General Board who are available and have not have prior involvement in the case, and require the Proctors to confirm a Proctor, deputy Proctor or Pro-Proctor who is available and has not had any prior involvement in the case.

8.5 The Appeal Committee shall consist of:

a) A Chair, who shall be experienced in decision-making relating to misconduct, either through legal training or in relation to student, staff or professional procedures;

b) A member of Regent House;

c) A Proctor, Deputy Proctor or Pro-Proctor.

8.6 The Secretary of the Appeal Committee shall organise a meeting of the Appeal Committee either physically or virtually and communicate the date, time and location for the meeting to members of the Committee. The Respondent will be informed of the members of the Appeal Committee.

8.7 The Appeal Committee shall receive the Respondent’s Appeal Form and evidence, the Discipline Committee outcome, the notes of the Discipline Committee and the material considered by the Discipline Committee at least 7 days before the Appeal Committee meeting.

8.8 The Appeal Committee shall normally consider an appeal in private based on the written materials, but has the discretion to request further information; where this happens the Respondent shall be sent a copy of any further information and be given an opportunity to provide a written response.

8.9 The Appeal Committee shall consider all the information that has been provided and reach one of the following decisions:

a) To dismiss the appeal;

b) To uphold the appeal.

8.10 Where the Appeal Committee has upheld an appeal, it can choose to send the matter back for re-consideration by a Discipline Committee, or alternatively it has the power to impose its own decision, including sanctions. Where the Appeal Committee considers a breach of the Rules of Behaviour has taken place, it can impose any sanction outlined in paragraphs 5.4 and 7.11 of this procedure, including more or less significant sanctions than were imposed by the Discipline Committee for the same breach.

8.11 The Secretary of the Appeal Committee, within 7 days of the Appeal Committee reaching a decision, shall provide to the Respondent a written copy of the Appeal Committee’s decision, reasons for the decision, and any substituted decision. This is the final stage of the internal process and therefore the Respondent will be issued with a Completion of Procedures letter.

8.12 Where the Discipline Committee has imposed a sanction and the Respondent has appealed, the sanction will not normally be implemented while the appeal is being considered. Following the Appeal Committee’s decision, any sanctions shall be implemented, even if the Respondent intends to raise a complaint with an external body.

8.13 Where a Respondent subsequently fails to comply with any sanctions imposed by the Appeal Committee, the Respondent will be subject to any action specified by the Appeal Committee to be imposed in this circumstance; or, where other action has not been specified, further disciplinary action may be taken under Regulation 2(a) of the Rules of Behaviour.

**9 Reporting and Monitoring**

9.1 OSCCA shall monitor all Concerns reported made using this procedure and shall produce an annual report summarizing the anonymised decisions made by the Student Discipline Officer, the Discipline Committee and the Appeal Committee. The annual report shall be submitted to the General Board’s Education Committee.

9.2 The purpose of this monitoring shall be to ensure that decisions are made consistently and at the appropriate level; that appropriate action is taken on issues identified and that information gathered is used to improve guidance and support for students and staff involved in the procedure.

1. See Regulation 1 of the Ordinance on Residence and Precincts of the University ([*Statutes and Ordinances*, 2018, p. 175](file:///C%3A%5CUsers%5Ccb765%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CContent.Outlook%5CQBWR3P27%5CStatutes%20and%20Ordinances%2C%202018%2C%20p.%20175)) –which provides the following definition: The Precincts of the University shall be the area within a boundary defined as extending three miles from Great St Mary's Church, measured in a straight line, and as including Madingley Hall and such other places about Cambridge as may from time to time be determined by Grace, or by such authority as may be provided by Grace, whether generally or with respect to particular persons or classes of persons. [↑](#footnote-ref-1)
2. These are listed in the Equality Act 2010 and are: age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, sex and sexual orientation. [↑](#footnote-ref-2)